### REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.

12/30/2016	DATE (DD-MM-111	final	TITPE			01-Nov-2011 to 30-Sep-2016	
	AND SUBTITLE			F- CC	5a. CONTRACT NUMBER		
		of the conner o	asan stratification	and valanity f		ONTRACT NUMBER	
			cean stratification a	and velocity fi	ela		
about the seasonality-retreating marginal ice zone					5b. GF	5b. GRANT NUMBER	
						N00014-12-1-0140	
					5c. PR	5c. PROGRAM ELEMENT NUMBER	
					8.575.001.50		
6. AUTHOR(S)					5d. PR	5d. PROJECT NUMBER	
Toole, John, M.					(20000000000000000000000000000000000000	WHOI 132140SP	
Krishfield, Richard, A.					102.3/8424	5e. TASK NUMBER	
Timmermans, Mary-Louise					Se. IA	SK NUMBER	
Cole, Sylvia							
Thwaites, Fredrik, T.					5f. WO	5f. WORK UNIT NUMBER	
7 DEDECORAL	INC ODCANIZATIO	NAME (C) AN	D ADDRESS/FS)			A DEDECOMING ODGANIZATION	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Woods Hole Oceanographic Institution						8. PERFORMING ORGANIZATION REPORT NUMBER	
Woods Hole, MA 02543-1041						FINAL	
vvood3 i lolo	, 1017 02040-10-	1.1					
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)						10. SPONSOR/MONITOR'S ACRONYM(S)	
Office of Naval Research 875 North Randolph Street						ONR	
Arlington, VA 22203-1995						11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
						, ,	
12. DISTRIBU	TION/AVAILABILIT	Y STATEMENT					
	- UNCLASSIFII						
			**				
13. SUPPLEM	IENTARY NOTES						
14. ABSTRAC	π						
As a contribu	ution to the Mar	ginal Ice Zone	e DRI, this research	element wa	s designed t	to observe the seasonal evolution of	
he upper-oc	cean stratificatio	n, document	the time-varying oc	ean currents	and charact	terize the turbulent ice-ocean	
exchanges of	of heat, salt and	momentum a	is the sea ice cover	retreats pole	eward in spri	ing/summer using Ice-Tethered	
						equire vertical profiles of upper-ocean	
						tical turbulent flux estimates from jus	
						of the Arctic air-ice-ocean system.	
15. SUBJECT	TERMS	100 Care of the party of the care of the c	Second Reviews and the second			The property of the property and the property of the property	
Arctic Ocean							
	n Interaction						
Marginal Ice							
16. SECURITY CLASSIFICATION OF: 17. LIMITATION OF 18. NUMBER 198					19a, NAME (	NAME OF RESPONSIBLE PERSON	
. REPORT		c. THIS PAGE	ABSTRACT	OF	Notice Section	ohn M. Toole	
				PAGES	, work and the same of the same		
						HONE NUMBER (Include area code)	
J	U	U	UU	8	508-289-25	531	

# Autonomous Observations of the Upper Ocean Stratification and Velocity Fields About the Seasonally-Retreating Marginal Ice Zone

John M. Toole

MS 21/354 Clark Laboratory, WHOI Woods Hole, MA 02543 phone: (508) 289-2531 fax: (508) 457-2181 email: jtoole@whoi.edu

Richard A. Krishfield

MS 21/128 Clark Laboratory, WHOI Woods Hole, MA 02543 phone: (508) 289-2849 fax: (508) 457-2181 email: rkrishfield@whoi.edu

Mary-Louise Timmermans

111 Kline Geology Laboratory, Yale University PO Box 208109, New Haven CT 06520-8109 phone: (203) 432-3167 fax: (203) 432 3134 email: mary-louise.timmermans@yale.edu

Sylvia T. Cole

MS 21/350 Clark Laboratory, WHOI Woods Hole, MA 02543 phone: (508) 289-3805 fax: (508) 457-2181 email: scole@whoi.edu

Fredrik T. Thwaites

MS 18/101F Smith Laboratory, WHOI Woods Hole, MA 02543 phone: (508) 289-3351 fax: (508) 457-2107 email: fthwaites@whoi.edu

Award Number: N00014-12-1-0140 http://www.whoi.edu/itp | http://www.apl.washington.edu/project/project.php?id=miz

### **Long Term Goals**

The PI group seeks to build understanding of the physical processes controlling the Arctic's evolving air-ice-ocean system in support of efforts to predict its future state. A secondary goal is to develop and perfect autonomous instrument systems to observe the upper Arctic Ocean.

## **Objectives**

As a contribution to the Marginal Ice Zone DRI, this research element was designed to observe the seasonal evolution of the upper-ocean stratification, document the time-varying ocean currents and characterize the turbulent ice-ocean exchanges of heat, salt and momentum as the sea ice cover retreats poleward in spring/summer. Observations and insights deriving from the MIZ program advance understanding of ice-ocean interactions and their parameterizations in numerical models. The primary instrumentation used in this study was the Ice-Tethered Profiler with Velocity (ITP-V). A technical goal of this project was to improve the velocity measurement system of the ITP-V.

### Approach

The specific approach of this element of the MIZ DRI involved deployment of Ice-Tethered Profilers with Velocity (ITP-V) to sample the ocean and return those observations to the PIs in near-real time. A total of 5 systems were deployed for MIZ, programmed to acquire vertical profiles of upper-ocean temperature, salinity and horizontal velocity at 3-hour resolution, as well as direct vertical turbulent flux estimates from just below the ice-ocean interface several times per day. The ITP-V is a variant of the ITP system that has contributed to sustained observations of the Arctic Ocean below sea ice since 2004. The ITP-V instruments add a multi-axis acoustic-travel-time current meter and associated attitude/motion measuring unit to the standard ITP sensor suite to make direct, 3-D observations of ocean flow (Figure 1).

### **Tasks Completed**

In preparation for the main field program, the PI's constructed and fielded one ITP-V in conjunction with the 2013 cruise of the Beaufort Gyre Observing System program (see http://www.whoi.edu/beaufortgyre) to test improvements made to the initial prototypes of the ITP-V instrument. For the main MIZ field program that began in Spring 2014, 3 ITP-V systems were deployed in an approximate north-south line spanning the seasonal sweep of the MIZ. Fellow MIZ investigators fielded complementary sensor systems in conjunction with the ITP-Vs. The Spring ice camp work was followed by summer cruises to deploy drifting and mobile instruments to sample the ocean around the spring MIZ assets. In conjunction with that latter effort, a 5th ITP-V was deployed during the August cruise of MV Araon.

The ITP-V instruments operated until May 2015; the dataset is complete and has been finalized. From the 5 ITP-V systems deployed in the program, Figure 2, a total of 8,888 profiles and 3,840 fixed depth records were obtained over a total of 1,150 instrument-days of sampling. Temperature, salinity and absolute ocean velocity profiles were obtained from 6 m below the ice-ocean interface to 250 m depth at 3 hr interval (with on average, one profile per day to 750 m). The fixed depth records were collected a few meters beneath the ice-ocean interface and used to estimate the vertical turbulent fluxes of heat, salt, and momentum. Hourly GPS fixes tracked the motion of the supporting ice floes and T/C recorders sampled the ocean waters just below the ice-ocean interface at 15-minute interval. Data processing has been completed following procedures detailed by Krishfield et al. (2008) for the CTD and Cole et al. (2014; 2015) for the velocity sensor. These products have been made available to fellow MIZ investigators. The MIZ ITP-Vs sampled in a range of ice conditions from full ice cover to nearly open water and observed a variety of stratification and ocean velocity signals (e.g., changes in mixed layer properties, internal wave energy levels, eddies).

#### Results

An undergraduate student from VIT University in India, Ratnaksha Lele, conducted a WHOI Summer Student Fellow Program investigation into sea ice dynamics and energetics using MIZ data under the supervision of Toole and Cole (Lele, 2015; Lele et al., 2016). Terms in the momentum and kinetic energy equations for ice floes were evaluated (apart from internal ice stresses that were derived as residuals) and the dominant balances assessed. On subinertial timescale, the dominant balances appear to be between wind work driving ice motion and ice-ocean drag damping those motions, Figure 3. At

higher frequency, significant near-inertial variability was observed, particularly in summer when the ice concentration was reduced. Lele presented his research at the 2016 Ocean Sciences meeting.

Yale graduate student Mengnan Zhao working with Timmermans took the lead analyzing mesoscale eddies across the full Arctic including the MIZ ITP-V measurements. This comprehensive analysis indicated that a particularly energetic mesoscale eddy field was in place during the MIZ experiment (Figure 4). Zhao took the lead writing this work up for journal publication (Zhao et al., 2016).

Cole led the investigation into the ocean velocity and stratification variability observed by MIZ ITP-Vs. On short time scales, marked responses to individual storm wind events were observed, Figure 5. A synthesis and analysis of ice and upper-ocean velocity revealed spatial and seasonal variations in ice-ocean coupling (e.g., ice-ocean drag coefficients), in part attributable to differences in the initial multi-year ice floe sizes surrounding the instruments. A parallel analysis of the internal wave field below the surface layer showed that internal wave shear increased significantly with modest amounts of open water (~70% ice concentration). Smoother ice was associated with less internal wave generation and increased internal wave reflection (Figure 6). Independent of changes in the mixed layer depth, the dominant vertical wavelength shifted towards smaller scales as ice concentration changed from greater than 95% to 70-95%. This work was reported at the 2016 Ocean Sciences meeting (Cole and Toole, 2016); two peer-reviewed papers are poised for submission (Cole et al., 2017a,b).

In collaboration with Naval Postgraduate School doctoral candidate Shawn Gallaher (and his supervisors), the collective MIZ observations were used to quantify the evolution of the ice-ocean boundary layer in response to seasonal thermal forcing. The study identified a series of phases in the boundary layer evolution through summer, highlighting in particular the role of melt pond drainage on the upper ocean stratification and ice-ocean interaction, Figure 7 (Gallaher et al., 2016ab).

MIZ ITP-V measurements were used in a MIZ DRI model-observation synthesis to investigate Beaufort Gyre dynamics and thermodynamics in recent decades (Zhang et al., 2016). Collective MIZ observations and a coupled ice-ocean model (the Marginal Ice Zone Modeling and Assimilation System, MIZMAS) were employed to corroborate that the Beaufort Gyre circulation has intensified over the past two decades, with a stabilization of the spin-up after 2008; these changes are predominantly a result of the basin-scale wind forcing.

The PI's of this project continue to collaborate with fellow MIZ investigators in the analysis of the extensive dataset gathered during the MIZ field program. Several additional publications beyond those noted above are expected in early 2017.

# **Impact for Science**

The peer-reviewed scientific papers and meeting presentations based on the Marginal Ice Zone program constitute a major contribution to our understanding of the "new" Arctic Ocean with its much thinner and more mobile ice cover. Beyond the research contributions, one new WHOI Assistant Scientist (Cole) and an engineer with limited PI experience (Thwaites) participated in the MIZ ITP-V project as co-principal investigators. Both have led or contributed to presentations and peer-reviewed papers based on the MIZ program. In addition, a WHOI Summer Student Fellow (Lele) based his project on MIZ data. This individual is presently enrolled in graduate school at the Scripps Institution of Oceanography. Also, ITP-V investigators have collaborated with a Naval Postgraduate School

student (Gallaher) whose dissertation is based on MIZ observations. After graduation, this person will assume a teaching position at the Naval Academy.

# Relationships to Other Programs

The ITP-V MIZ project segued directly into the present Stratified Ocean Dynamics of the Arctic (SODA) DRI program. The MIZ project also has connections to the Arctic Observing Network initiative by the National Science Foundation (specifically the Ice-Tethered Profiler activity and Beaufort Gyre Observing System).

### **Figures**

Figure 1. a. Schematic drawing of the Ice-Tethered Profiler instrument system. b. Engineering drawing of the Ice-Tethered profiler with Velocity. c. Photograph of an MIZ ITP-V being deployed in the MIZ project (top) and in a test jig used to used to calibrate the Attitude, Heading Reference System (bottom).

a. b. c.

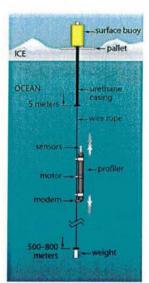
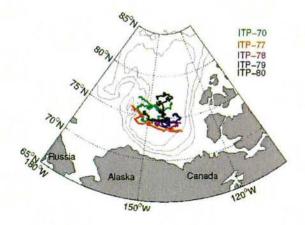






Figure 2. Drift tracks of the 5 ITP-V systems deployed during the Marginal Ice Zone DRI program.



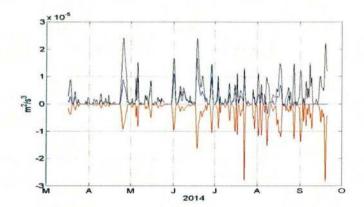


Figure 3. Time series of the wind stress work (blue and black) and the ocean stress work (red) on one of the MIZ sea ice floes that supported a buoy cluster. The two wind work estimates derive from directly-measured winds (blue) and the ERA-I model product (black). The ocean stress was derived from a quadratic drag formula with drag coefficient taken from direct turbulent momentum flux estimate.

From Lele, 2016.

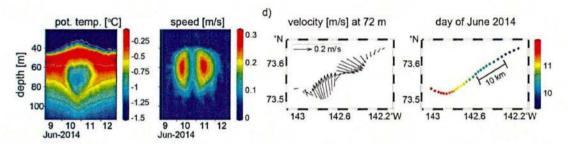


Figure 4. Observations of a typical halocline eddy sampled by an MIZ ITP-V instrument. First panel: potential temperature (°C)-depth (m) section overlaid with salinity contours; second and third panels: velocity magnitude (m/s)-depth (m) section and the velocity field at the eddy core depth; fourth panel: ITP drift track through the eddy showing dates and horizontal scale. From Zhao et al., (2016).

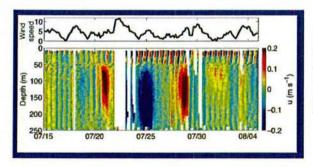
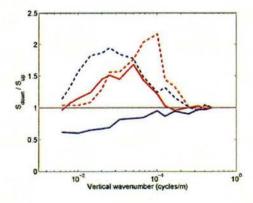


Figure 5: a 25-day segment of MIZ buoy observations showing wind speed (top) and the north-south component of ocean velocity (bottom). The banding, particularly evident after the wind event on 7/22, are near-inertial motions. The "blobs" of strong flow at 100-200 m depth are eddys bisected by the ITP-V drift track.

Figure 6: The ratio of downward to upward propagating internal wave energy over 70-250 m depth from MIZ clusters 2 (smoother ice; blue) and 4 (rougher ice; red) averaged over 15 March - 14 June (solid) and 15 June to 12 August (dashed).



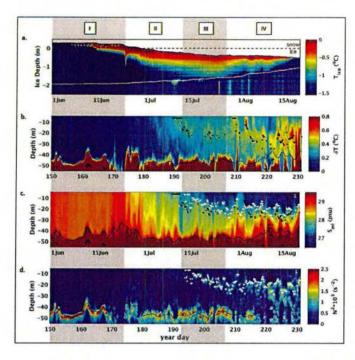


Figure 7: Overview of the ice-ocean boundary layer as observed by MIZ buoy cluster #2 during the MIZ study including (a) ice temperatures from an Ice-Mass-Balance instrument with top (black) and bottom (white) interfaces, (b) upper ocean departure from freezing ( $\delta T$ ) and core of the Near-Surface Temperature Maximum (NSTM, black dots), (c) upper ocean salinity, depth of the summer halocline (white dots), and NSTM, and (d) upper ocean squared buoyancy frequency and summer halocline. Black line around 40 m represents the base of the winter pycnocline defined by the 1023.5 isopycnal. From Gallaher et al. (2016).

#### References

Cole, S.T., M.-L. Timmermans, J.M. Toole, R.A. Krishfield and F.T. Thwaites, 2014. Ekman veering, internal waves, and turbulence observed under Arctic sea-ice. *Journal of Physical Oceanography*, doi: http://dx.doi.org/10.1175/JPO-D-12-0191.1

Cole, S.T., F.T. Thwaites, R.A. Krishfield, and J.M. Toole, 2015. Processing of Velocity Observations from Ice-Tethered Profilers. Proceedings Oceans 2015 MTS/IEEE, Washington, D.C. Oct 19-22, http://ieeexplore.ieee.org/xpl/articleDetails.jsp?arnumber=7401887&refinements%3D4224619700% 26filter%3DAND%28p IS Number%3A7401802%29

Cole, S.T. and J.M. Toole, 2016. Internal Waves and Mixing in the Marginal Ice Zone from Ice-Tethered Profilers with Velocity. Presentation at 2016 Ocean Sciences meeting. Abstract available here: https://agu.confex.com/agu/os16/meetingapp.cgi/Paper/88919; Poster presented at 2016 FAMOS workshop.

Cole, S.T., J. M. Toole, R. Lele, M.-L. Timmermans, S. G. Gallaher, T. P. Stanton, W. J. Shaw, B. Hwang, T. Maksym, J. P. Wilkinson, M. Ortiz, H. Graber, L. Rainville, A. A. Petty, S. Farrell, J. A. Richter-Menge and C. Haas, 2017a. Ice and ocean velocity in the Arctic marginal ice zone: Ice roughness and momentum transfer. In preparation.

- Cole, S.T., J. M. Toole, C. Lee and L. Rainville, 2017b. Ice and ocean velocity in the Arctic marginal ice zone: near-inertial motions and internal wave dynamics. In preparation.
- Gallaher, S.G., T.P. Stanton, W.J. Shaw, S.T. Cole, J.M. Toole, J.P. Wilkinson, T.Maksym and B.Hwang, 2016a. Evolution of a Western Arctic Ice-Ocean Boundary Layer and Mixed Layer across a Developing Thermodynamically Forced Marginal Ice Zone. *Journal of Geophysical Research*, 121, 6223–6250, doi:10.1002/2016JC011778.
- Gallaher, S., T.P. Stanton, W.J. Shaw, S.T. Cole, J.M Toole, J. Wilkinson, T. Maksym and P.B. Hwang, 2016b. Evolution of an Arctic Ice-Ocean Boundary Layer across a developing Thermodynamically Forced Marginal Ice Zone. Abstract available here: https://agu.confex.com/agu/os16/meetingapp.cgi/Paper/89111
- Krishfield, R., J. Toole, and M.-L. Timmermans, 2008: ITP data processing procedures. Woods Hole Oceanographic Institution Tech. Rep., 24 pp. Available at <a href="http://www.whoi.edu/fileserver.do?id=35803&pt=2&p=41486">http://www.whoi.edu/fileserver.do?id=35803&pt=2&p=41486</a>.
- Lele, R., 2015. An Investigation into Arctic Sea-Ice Dynamics and Energetics. Report submitted in partial fulfillment of the WHOI Summer Student Fellowship program. Unpublished manuscript.
- Lele, R., J.M. Toole and S.T. Cole, 2016. An Investigation into Arctic Sea-Ice Dynamics and Energetics. Poster presented at 2016 Ocean Sciences meeting. Abstract available here: https://agu.confex.com/agu/os16/meetingapp.cgi/Paper/88331
- Zhang, J., M. Steele, K. Runciman, S. Dewey, J. Morison, C. Lee, L. Rainville, S. Cole, R. Kirshfield, M.-L. Timmermans, J. Toole, 2016. The Beaufort Gyre intensification and stabilization: A model-observation synthesis. Journal of Geophysical Research, 121, doi:10.1002/2016JC012196.
- Zhao, M., M.-L. Timmermans, S. Cole, R. Krishfield and J. Toole, 2016. Evolution of the Eddy Field in the Arctic Ocean's Canada Basin, 2005-2015. *Geophysical Research Letters*, **43**, 8106–8114, doi:10.1002/2016FL069671.